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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/473,683	12/29/1999	STEPHEN PALM	P18062	1308	
7055 75	590 03/26/2003				
GREENBLUM & BERNSTEIN, P.L.C.			EXAMINER		
RESTON, VA	CLARKE PLACE 20191		KUMAR, PANKAJ		
			ART UNIT	PAPER NUMBER	
			2631	8	
			DATE MAILED: 03/26/2003	-	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No).	Applicant(s)			
	09/473,683		PALM, STEPHEN			
Office Action Summary	Examiner		Art Unit			
	Pankaj Kumar		2631			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a repl - If NO period for reply is specified above, the maximum statutory period or - Failure to reply within the set or extended period for reply will, by statute - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). Status	136(a). In no event, how ly within the statutory m will apply and will expire e, cause the application	vever, may a reply be tim inimum of thirty (30) day: e SIX (6) MONTHS from to become ABANDONE!	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).			
1) Responsive to communication(s) filed on <u>29 December 1999</u> .						
	nis action is non-					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims						
4)⊠ Claim(s) <u>1-52</u> is/are pending in the application.						
	4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-52</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12) The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) Ali b) Some * c) None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 						
14)⊠ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
 a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. 						
Attachment(s)						
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2 	4) 5) 2.3.5.7 . 6)	Notice of Informal i	y (PTO-413) Paper No(s) Patent Application (PTO-152)			

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1. DETAILED ACTION

2. Information Disclosure Statement

3. The information disclosure statement received 11/2/2000 as part of paper 4 and 9/10/2001 as part of paper 6 are not in the application file wrapper. Please resubmit these IDSs.

4. Claim Objections

- 5. Claims 5, 13, 25, 28, 36, 40 and 49 are objected to because of the following informalities: the compound word can next to not should actually be the word cannot with no space in between. Appropriate correction is required.
- 6. The bracket notations used in the claims is improper since brackets are normally associated with deletions in amendments. It is suggested that applicant either not use any special characters or use hyphens, parenthesis, or something similar.

7. Claim Rejections - 35 USC § 112

- 8. The following is a quotation of the second paragraph of 35 U.S.C. 112:
- 9. The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 10. Claims 17, 22, 25 and 37 are rejected under 35 U.S.C. 112, second paragraph, as being incomplete for omitting essential elements, such omission amounting to a gap between the elements. See MPEP § 2172.01. A second predetermined signal is discussed, accordingly, the omitted element is the first predetermined signal.

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11. Claim Rejections - 35 USC § 103

- 12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
- A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 14. Claims 1-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fischer USPN 5,103,446.
- 15. As per claim 1, Fischer 5,103,446 teaches a method for performing a startup session to establish a communication session between a first communication system and a second communication system, comprising:
- 16. initiating a start-up procedure by one of the first communication system and the second communication system (Fischer fig. 7);
- 17. having the first communication system (Fischer fig. 7: receiving node) acknowledge (Fischer col. 6: "handshake") one of a full-duplex operating mode and a half-duplex operating mode (not in Fischer as claimed but Fischer does have half duplex and full duplex and says in col. 6 first paragraph "adaptive throughput control incorporates handshaking". This is used to obtain the most optimal operating mode. It would have been obvious to one skilled in the art at the time of the invention to modify Fischer to include full and half duplex as claimed since these are subcategories of operating mode.) in response to a request by the second communication system (Fischer fig. 7: sending node, send inquiry 84); and

- having the first communication system establish (Fischer fig. 7: send response 94) one of the full duplex operating mode and the half duplex operating mode for further communication that is compatible with a mode requested by the second communication system (Fischer col. 6 lines 17-23 "Adapting throughput control allows operation at the <u>highest mutually achievable</u> data rate between the pair of PCs involved in the communication activity ...").
- 19. As per claim 2, Fischer teaches the method of claim 1, the first communication system comprising a central office system (Fischer fig. 1: 20h, "hub"), the second communication system comprising a remote system (Fischer fig. 1: 20).
- As per claim 3, Fischer teaches the method of claim 1, wherein the first communication system and the second communication system each support an xDSL communication session (xDSL is not in Fischer. It would have been obvious to one skilled in the art at the time of the invention to modify Fischer to include xDSL since it has been held to be within the general skill of a worker in the art to select a known material (in this case xDSL) on the basis of its suitability for the intended use as a matter of obvious design choice. In re Leshin, 125 USPQ 416. Also, the selection of known material (in this case xDSL) based on its suitability for the intended use for prior art parts does not make the claimed invention patentable over that prior art (In re Leshin, 125 USPQ 416). Also, lacking any criticality, changing the form (in this case xDSL) or shape of prior art parts does not make the claimed invention patentable over that prior art (In re Dailey, 149 USPQ 47).).

As per claim 4, Fischer teaches the method of claim 1, wherein initiating a start-up procedure comprises initiating a start-up procedure (Fischer fig. 7) for a high speed (Fischer col. 6 lines 17-23 "Adapting throughput control allows operation at the <u>highest mutually achievable</u> data rate between the pair of PCs involved in the communication activity ...") xDSL communication session (reasoning for claim 3 applies).

- As per claim 5, Fischer teaches the method of claim 1, further comprising establishing a low-speed communication session if a high-speed communication can not be established (Fischer col. 6 lines 17-23 "Adapting throughput control allows operation at the <u>highest mutually</u> achievable data rate between the pair of PCs involved in the communication activity ...").
- 23. As per claim 6, Fischer teaches the method of claim 5, wherein establishing the low-speed communication session comprises establishing an analog communication session (Fischer indicates analog in claim 28 and in paragraph 22).
- As per claim 7, Fischer teaches the method of claim 1, wherein initiating the start-up procedure by one of the first communication system and the second communication system comprises having one of the first communication system and the second communication system transmit a signal from at least one predetermined set of signal families (Fischer figs. 5, 6, 7, 8, 10).

- 25. As per claim 8, Fischer teaches the method of claim 7, further comprising reversing a phase of the transmitted signal at predetermined time intervals (Fischer fig. 8: the phase of signals at byte 0, byte 1, and byte 2 will be different if the data being transmitted is different).
- 26. Claims 9-13 are discussed above with respect to other claims.
- As per claim 14, Fischer teaches the method of claim 13, wherein the low-speed communication session comprises a communication session (up to here discussed above) occupying an approximate 4 kHz bandwidth (Fischer does not teach 4kHz bandwidth. It would have been obvious to one skilled in the art at the time of the invention to modify Fischer to teach a 4 kHz bandwidth since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. In re Boesch, 617 F.2d 272, 205 USPQ 215 (CCPA 1980).
- 28. Claims 15 and 16 have been discussed above in respect to other claims.
- 29. Ciaims 25-51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maxwell et al. USPN 4,924, 456.
- 30. As per claim 25, Maxwell teaches a method for performing a startup session of a high speed communication session, comprising:

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- al. having a first communication system transmit a predetermined signal to a second communication system, the first communication system supporting only a half duplex operating mode (not in Maxwell; instead Maxwell has full duplex (FDX). It would have been obvious to one skilled in the art at the time of the invention to modify Maxwell to teach only a half duplex instead of full duplex since it has been held to be within the general skill of a worker in the art to select a known material (in this case, only a half duplex) on the basis of its suitability for the intended use as a matter of obvious design choice. In re Leshin, 125 USPQ 416. Also, the selection of known material (in this case, only a half duplex) based on its suitability for the intended use for prior art parts does not make the claimed invention patentable over that prior art (In re Leshin, 125 USPQ 416). Also, lacking any criticality, changing the form (in this case, only a half duplex) or shape of prior art parts does not make the claimed invention patentable over that prior art (In re Dailey, 149 USPQ 47). Also, full duplex and half duplex are both subcategories in the duplex category) while the second communication system supports only a full duplex operating mode (Maxwell fig. 11: FDX, establish link->not agreeing on parameters);
- 32. detecting the predetermined signal at the second communication system, the second communication system responding to the first communication system by transmitting a selected signal (Maxwell fig. 11: exchange link parameters with remote);
- halting, for a predetermined time period, the transmission of the predetermined signal when the selected signal is detected by the first communication system, a second predetermined signal (Maxwell fig. 11: get acks->time out), indicating a half duplex operating mode, being transmitted by the first communication system upon an expiration of the predetermined time

period (Maxwell fig. 11: time out->init recovery->...->enough data to go high speed half duplex->no->transmit data at low speed full duplex); and

- detecting, by the first communication system, that the second communication system continues to transmit the selected signal during the time when the second predetermined signal should have been detected, the first communication system concluding that a high speed half duplex operating mode can not be established between the first communication system and the second communication system (Maxwell fig. 11: disconnect when cannot agree on parameters) (35 U.S.C. 103 arguments interchanging the duplexes apply).
- 35. Claims 26 to 30 are discussed with Maxwell in respect to other claims.
- 36. As per claim 31, Maxwell teaches a method for performing a startup session of a high speed communication, comprising:
- 37. having a first communication system transmit a first predetermined signal to a second communication system, the first communication system supporting only a full duplex operating mode while the second communication system supports only a half duplex operating mode (discussed with Maxwell);
- 38. detecting the predetermined signal at the second communication system, the second communication system responding to the first communication system by transmitting a selected signal (discussed with Maxwell);
- 39. halting, for a predetermined time period, the transmission of the predetermined signal when the selected signal is detected by the first communication system, a second predetermined

signal (Maxwell fig. 11: get acks->time out), indicating a full duplex operating mode, being transmitted by the first communication system upon an expiration of the predetermined time period (Maxwell fig. 11: time out->init recovery->...->enough data to go high speed half duplex->no->transmit data at low speed full duplex); and

- determining, by the first communication system, that the second communication system has stopped transmitting the selected signal after the second predetermined signal is transmitted, the first communication system concluding that a high speed full duplex operating mode can not be established between the first communication [remote] system and the second communication system (Maxwell fig. 11: disconnect when cannot agree on parameters) (35 U.S.C. 103 arguments interchanging the duplexes apply).
- 41. Claims 32-35 are discussed with Maxwell in respect to other claims.
- 42. As per claim 36, Maxwell teaches the method of claim 31, further comprising having the first communication system transmit a termination signal to complete the startup session when the high speed full duplex operating mode can not be established (Maxwell fig. 11: signal to disconnect when cannot agree on parameters).
- 43. As per claim 37, Maxwell teaches a method for performing a startup session of a high speed communication, comprising:

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44. having a central system transmit a predetermined signal to a first communication office system, the first communication system and the second communication system both supporting a half duplex operating mode;

- 45. detecting the predetermined signal at the first communication system, the first communication system responding to the second communication system by transmitting a selected signal, indicating a half duplex mode, to the second communication system;
- 46. halting, for a predetermined time period, the transmission of the predetermined signal when the selected signal is detected by the second communication system, a second predetermined signal, indicating a half duplex operating mode, being transmitted by the first communication system to the second communication system (up to here discussed with Maxwell in respect to other claims); and
- 47. acknowledging the half-duplex mode by the second communication system, so that a high speed half-duplex mode communication session is established (Maxwell fig. 11: if there is enough data to go high speed half duplex, then set up a high speed half duplex line).
- 48. Claims 38 and 39 are discussed with Maxwell in respect to other claims.
- 49. As per claim 40, Maxwell teaches a method for performing a startup session of a high speed communication between a first communication system and a second communication system, comprising:
- 50. having the second communication system transmit a predetermined signal to the first communication system, the first communication system supporting only a half duplex (not in

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Maxwell; instead Maxwell has full duplex (FDX). It would have been obvious to one skilled in the art at the time of the invention to modify Maxwell to teach only a half duplex instead of full duplex since it has been held to be within the general skill of a worker in the art to select a known material (in this case, only a half duplex) on the basis of its suitability for the intended use as a matter of obvious design choice. In re Leshin, 125 USPQ 416. Also, the selection of known material (in this case, only a half duplex) based on its suitability for the intended use for prior art parts does not make the claimed invention patentable over that prior art (In re Leshin, 125 USPQ 416). Also, lacking any criticality, changing the form (in this case, only a half duplex) or shape of prior art parts does not make the claimed invention patentable over that prior art (In re Dailey, 149 USPQ 47). Also, full duplex and half duplex are both subcategories in the duplex category) operating mode while the second communication system supports only a full duplex operating mode (Maxwell fig. 11: FDX, establish link->not agreeing on parameters);

- 51. detecting the predetermined signal at the first communication system, the first communication system responding to the second communication system by transmitting a selected signal (Maxwell fig. 11: exchange link parameters with remote) indicating a half duplex operating mode; and
- 52. detecting, by the first communication office system, that the second communication system continues to transmit the predetermined signal after the selected signal is transmitted, the first communication system concluding that a high speed half duplex operating mode can not be established between the first communication system and the second communication system (Maxwell fig. 11: agree on parameters->no->disconnect) (arguments interchanging the duplexes apply).

- 53. As per claim 41, Maxwell teaches the method of claim 40, the first communication system comprising a remote system, the second communication system comprising a central office system (Maxwell figs. 11 and 12: communication and linking with the remote).
- As per claim 42, Maxwell teaches the method of claim 40, wherein the first communication system and the second communication system each support a high speed xDSL communication session (Maxwell does not have xDSL but it does have a modem. It would have been obvious to one skilled in the art at the time of the invention to modify Maxwell to teach xDSL since it has been held to be within the general skill of a worker in the art to select a known material (in this case xDSL) on the basis of its suitability for the intended use as a matter of obvious design choice. In re Leshin, 125 USPQ 416. Also, xDSL is a type of modem).
- As per claim 43, Maxwell teaches the method of claim 40, further comprising establishing a low-speed communication session if the high speed half duplex operating mode can not be established (Maxwell fig. 12: if there is not enough data to go high speed then it will transmit data at low speed).
- As per claim 44, Maxwell teaches the method of claim 43, wherein the low-speed communication session comprises a communication session occupying an approximate 4 KHz bandwidth (Maxwell does not teach 4kHz. Maxwell teaches 2.225kHz with paragraph 63 "The answer modern goes off-hook and transmits an answer tone of 2225 Hz." It would have been

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obvious to one skilled in the art at the time of the invention to modify Maxwell to teach 4kHz instead of 2.225kHz since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. In re Boesch, 617 F.2d 272, 205 USPQ 215 (CCPA 1980). Also, it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. In re Aller, 105 USPQ 233.).

57. As per claim 45, Maxwell teaches the method of claim 40, further comprising having the first communication system transmit a termination signal (Maxwell fig. 11: disconnect) to complete the startup session when the high speed half duplex (Maxwell does not teach this. Instead Maxwell teaches low speed full duplex. It would have been obvious to one skilled in the art at the time of the invention to modify Maxwell to teach of high speed half duplex instead of low speed full duplex since it has been held to be within the general skill of a worker in the art to select a known material (in this case high speed half duplex) on the basis of its suitability for the intended use as a matter of obvious design choice. In re Leshin, 125 USPQ 416. Also, the selection of known material (in this case high speed half duplex) based on its suitability for the intended use for prior art parts does not make the claimed invention patentable over that prior art (In re Leshin, 125 USPQ 416). Also, lacking any criticality, changing the form (in this case high speed half duplex) or shape of prior art parts does not make the claimed invention patentable over that prior art (In re Dailey, 149 USPQ 47). Also, high speed half duplex and low speed full duplex are both subcategories of duplex speed) operating mode can not be established (Maxwell fig. 11: agree on parameters, no).

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58. As per claim 46, Maxwell teaches a method for performing a startup session of a high speed communication, comprising:

- 59. having a central system transmit a predetermined signal to a first communication system. the first communication system supporting only a full duplex operating mode while the second communication system supports only a half duplex operating mode (not in Maxwell; instead Maxwell has full duplex (FDX). It would have been obvious to one skilled in the art at the time of the invention to modify Maxwell to teach only a half duplex instead of full duplex since it has been held to be within the general skill of a worker in the art to select a known material (in this case, half duplex or only a half duplex) on the basis of its suitability for the intended use as a matter of obvious design choice. In re Leshin, 125 USPQ 416. Also, the selection of known material (in this case, half duplex or only a half duplex) based on its suitability for the intended use for prior art parts does not make the claimed invention patentable over that prior art (In re Leshin, 125 USPQ 416). Also, lacking any criticality, changing the form (in this case, half duplex or only a half duplex) or shape of prior art parts does not make the claimed invention patentable over that prior art (In re Dailey, 149 USPQ 47). Also, full duplex and half duplex are both subcategories in the duplex category, fig. 11: FDX, establish link->not agreeing on parameters);
- detecting the predetermined signal at the first communication system, the first communication system responding to the second communication system by transmitting a selected signal, indicating a full duplex mode, to the second communication system (Maxwell fig. 11: exchange link parameters with remote);

- halting the transmission of the predetermined signal when the second communication system detects the selected signal transmitted by the first communication system (Maxwell fig. 11: disconnect if not agreeing on parameters); and
- determining, by the first communication system, that the second communication system stopped transmitting the predetermined signal after the selected predetermined signal was transmitted (Maxwell fig. 11: ask remote to go to low speed full duplex->...->do not get acks back), the first communication system concluding that a high speed full duplex operating mode can not be established between the first communication system and the second communication system (Maxwell fig. 11: ask remote to go to low speed full duplex->...->do not get acks back->there is a time out->init recovery establish low speed link->...->transmit data at hispeed half duplex) (arguments interchanging the duplexes apply).
- 63. Claims 47, 48, 50 are discussed above in respect to other claims.
- As per claim 49, Maxwell teaches the method of claim 46, further comprising establishing a low-speed communication session if the high speed full duplex operating mode can not be established (Maxwell fig. 11: if there is not enough data to go to high speed half duplex then the data will be transmitted at low speed full duplex; above 35 U.S.C. 103 arguments interchanging the duplexes apply).
- As per claim 51, Maxwell teaches the method of claim 46, further comprising having the first communication system transmit a termination signal to complete the startup session when

the high speed full duplex operating mode can not be established (Maxwell fig. 11: disconnect when cannot agree on parameters).

66. Allowable Subject Matter

- 67. Claim 17 would be allowable if rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, second paragraph, set forth in this Office action. The following is a statement of reasons for the indication of allowable subject matter: The art of record does not suggest the respective claim combinations together and nor would the respective claim combinations be obvious with the underlined portions:
- As per claim 17, Fischer teaches a method for performing a startup session to establish a high speed communication session, comprising:
- 69. having a first communication system transmit a predetermined signal to a second communication system, the first communication system and the second communication system both supporting a half duplex operating mode;
- 70. detecting the predetermined signal at the second communication system, the second communication system responding to the first communication system by transmitting a selected signal; (discussed above up to here)
- halting, for a predetermined time period (Fischer fig. 8: shows data transmission being halted for a period of time), the transmission of the predetermined signal by the first communication [remote] system when the selected signal is detected by the first communication system (Fischer fig. 8: clear to send high), a second predetermined signal, indicating a half

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<u>expiration of the predetermined time period</u> (not in Fischer), the second communication system stopping the transmission of the selected signal upon detection of the second predetermined signal (Fischer fig. 8: clear to send low); and

- 72. acknowledging the half-duplex mode by the second communication system by the turning OFF of the selected signal, so that a high speed half-duplex mode communication session is established (Fischer shows signal acknowledge in fig. 8; Fischer also establishing communication).
- 73. As per claim 17, Maxwell teaches a method for performing a startup session to establish a high speed communication session, comprising:
- having a first communication system transmit a predetermined signal to a second communication system (Maxwell figs. 11, 12: various blocks prior to "set up hispeed link half duplex"), the first communication system and the second communication system both supporting a half duplex operating mode (Maxwell figs. 11, 12: "set up hispeed link half duplex");
- 75. detecting the predetermined signal at the second communication system, the second communication system responding to the first communication system by transmitting a selected signal (Maxwell fig. 11: exchange link parameters with remote and agree on parameters).
- halting, for a predetermined time period (Maxwell fig. 11: get acks->timeout->init recovery), the transmission of the predetermined signal by the first communication [remote] system when the selected signal is detected by the first communication system, a second predetermined signal, indicating a half duplex operating mode, being transmitted by the first

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communication system upon an expiration of the predetermined time period (Maxwell fig. 11: time out), the second communication system stopping the transmission of the selected signal upon detection of the second predetermined signal (not in Maxwell); and

- acknowledging the half-duplex mode by the second communication system by the turning OFF of the selected signal, so that a high speed half-duplex mode communication session is established (Maxwell fig. 11: high speed half duplex).
- Claims 18-24, 52 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, second paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims. The following is a statement of reasons for the indication of allowable subject matter: they are dependent on claim 17

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79. Conclusion

80. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: Singh USPN 6366567, Bellenger USPN 6263016

- 81. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pankaj Kumar whose telephone number is (703) 305-0194. The examiner can normally be reached on Monday through Thursday after 8AM to after 6:30PM.
- 82. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chi H. Pham can be reached on (703) 305-4378. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9314 for regular communications and (703) 872-9314 for After Final communications.
- 83. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3800.

84.

86. **PK**

87. March 20, 2003

85.

TESFAN FATEURE PRIMER